Guideline summary review: An evidence-based clinical guideline for the diagnosis and treatment of low back pain

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#### Introduction

To improve the knowledge base concerning the diagnosis and treatment of nonspecific low back pain in adult patients, the Low Back Pain Work Group of the North American Spine Society's (NASS) Evidence-Based Guideline Development Committee developed an evidence-based clinical guideline on this topic. When employing the principles of evidence-based medicine, the clinical literature is extensively searched to answer specific clinical questions about a disease state or medical condition. The literature, identified in the search, is rated according to its scientific merit using NASS evidence analysis criteria and the levels of evidence as determined by specific rule sets that apply to human, clinical investigations. The evidence with the highest possible levels of evidence obtained from the searches is utilized to answer the specific clinical questions. As a final step, the answers to clinical questions are reformulated as recommendations. Recommendations are then assigned a recommendation grade according to the level of evidence for the best clinical evidence available at the time of answering each question. The intent of the grade of recommendation is to indicate the strength of evidence used by the work group in answering the question asked.

### Methods

The methods used to develop this guideline and guideline development disclosure policies are detailed in the complete guideline [1] and technical report. [2] In brief (Figure 1), a multidisciplinary work group of spine care specialists, including representatives from the fields of primary care, psychology, neurosurgery, orthopedic surgery, physical medicine and rehabilitation, chiropractic care, physical therapy, anesthesiology, research, and radiology, convened to identify clinical questions to address in the guideline. To ensure broad-based representation on this topic, NASS invited representatives from organizations whose members

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are involved in the care of patients with low back pain to serve on guideline work groups. The patient population for this guideline encompasses adults (18 years or older) with low back pain defined as pain of musculoskeletal origin extending from the lowest rib to the gluteal fold that may at times extend as somatic referred pain into the thigh (above the knee). The following conditions were excluded: low back pain due to tumor, infection, metabolic disease, inflammatory arthritis, fracture; patients with a diagnosed deformity, including spondylolisthesis, spondylolysis and scoliosis; pain experienced below the knee; extra-spinal conditions (ie, visceral, vascular, genito-urinary); patients who have undergone prior lumbar surgery; presence of neurological deficit; back pain that is associated with widespread multi-site pain (>2 sites); or pregnancy. A full list of inclusion and exclusion criteria can be found in the complete guideline [1] and technical report. [2] The literature search strategy was developed in consultation with medical librarians. Upon completion of the systematic literature search, evidence relevant to the clinical questions posed in the guideline was reviewed. Work group members utilized NASS evidentiary table templates to summarize study conclusions, identify study strengths and weaknesses, and assign levels of evidence according to the NASS Levels of Evidence for Primary Research Question scale. [3] Work group members participated in webcasts and inperson recommendation meetings to update and formulate evidence-based recommendations and incorporate expert opinion when necessary. The draft guideline was submitted to an internal peer review process as well as an external review by participating stakeholder societies and was ultimately approved by the NASS Board of Directors. A full list of all authors as well as participating and contributing societies can be found in the complete clinical guideline. [1] Results

Eighty-two clinical questions were addressed in this guideline. Work group members engaged in a two-step screening process to determine article eligibility, including title and abstract screening and evidentiary review. The total number of articles retrieved, eligible for critical appraisal, and meeting inclusion criteria for each individual clinical question can be accessed in the technical report. [2] A total of 119 recommendations and 2 work group consensus statements were issued.

### **Summary of Recommendations**

Recommendations were graded according to the NASS Grades of Recommendation. [4] In summary, these are Recommendation Grade A=Good evidence, Recommendation Grade B=Fair evidence, Recommendation Grade C=Poor quality evidence, and I=Insufficient or conflicting evidence. The recommendations are summarized below.

#### <u>Diagnosis</u>

Diagnosis Question 1: In patients with low back pain, are there specific history or physical examination findings that would indicate the structure causing pain and, therefore, guide treatment?

- a. Vertebral body
- b. Intervertebral disc
- c. Zygapophyseal joint
- d. Posterior elements
- e. Sacroiliac joint
- f. Muscle/tendon
- g. Central sensitization

There is insufficient evidence to make a recommendation for or against the use of innominate kinematics for the assessment of sacroiliac joint pain. [5]

# Grade of Recommendation: I

There is insufficient evidence to make a recommendation for or against the use of pain localization in predicting response to a diagnostic injection. [6]

Grade of Recommendation: I

There is insufficient evidence to make a recommendation for or against the assessment of centralization or peripheralization for the prediction of discography results. [7]

Grade of Recommendation: I

Diagnosis Question 2: In patients with low back pain, are there history or physical examination findings that would serve as predictors for the recurrence of low back pain?

There is insufficient evidence to indicate that body mass index (BMI) is a potential predictor of a recurrence of low back pain. [8]

Grade of Recommendation: I

It is suggested that history of low back pain is a potential predictor of a recurrence of low back pain. [8,9]

Grade of Recommendation: B

Diagnosis Question 3: In patients with acute low back pain, are there history or physical examination findings that would predict that an episode will resolve within one month?

Diagnosis Question 6: What are the patient characteristics that increase or decrease the risk of developing chronic low back pain after an acute episode?

Diagnosis Question 9: Does a psychological evaluation assist with identifying patients with low

back pain who are at risk for developing chronic pain or disability?

The work group considered these questions together as the vast majority of the literature evaluating the conversion from acute to chronic pain combined various demographic, social, psychological, and physical examination findings in predictive models.

It is recommended that psychosocial factors and workplace factors be assessed when counseling patients regarding the risk of conversion from acute

to chronic low back pain. [10-26]

Grade of Recommendation: A

It is recommended that psychosocial factors be used as prognostic factors for return to work following an episode of acute low back pain. [19,20,22,24,26] Grade of Recommendation: A

It is recommended that pain severity and functional impairment be used to stratify risk of conversion from acute to chronic low back pain. [13, 16, 19, 20, 27-29]

Grade of Recommendation: A

It is suggested that prior episodes of low back pain be considered a prognostic factor for the conversion from acute to chronic low back pain. [13, 16, 17]

#### Grade of Recommendation: B

There is insufficient evidence to assess sleep quality as a prognostic variable to predict recovery from acute low back pain. [12]

Grade of Recommendation: I

There is insufficient evidence to make a recommendation for or against the use of smoking and/or obesity as prognostic factors for the conversion from acute to chronic

low back pain. [11, 18, 23]

Grade of Recommendation: I

Diagnosis Question 4: In patients with low back pain, what history and/or physical examination findings are useful in determining if the cause is nonstructural in nature and, therefore, guide treatment?

A nonstructural cause of low back pain may be considered in patients with diffuse low back pain and tenderness. [30,31]

Grade of Recommendation: C

There is insufficient evidence to make a recommendation for or against the use of fear avoidance behavior to determine the likelihood of a structural cause of low back pain.

[32]

Grade of Recommendation: I

There is insufficient evidence to make a recommendation for or against the presence of diffuse back tenderness for the prediction of the presence of disc degeneration on radiographs. [30]

### Grade of Recommendation: I

Diagnosis Question 5: In patients with low back pain, what elements of the patient's history and findings from the physical examination would suggest the need for diagnostic laboratory studies?

There is insufficient evidence to make a recommendation for or against obtaining laboratory tests to assess for inflammatory disease in patients with sacroiliac joint pain. [33]

Grade of Recommendation: I

Diagnosis Question 7: In patients with low back pain, are there specific findings on a pain diagram that help differentiate the structure which is causing pain?

A systematic review of the literature yielded no studies to adequately address this

question.

Diagnosis Question 8: Are there assessment tools or questionnaires that can help identify the cause of acute, subacute or chronic low back pain?

A systematic review of the literature yielded no studies to adequately address this question.

Diagnosis Question 10: Are there history and physical examination findings that would warrant obtaining advanced imaging studies?

A systematic review of the literature yielded no studies to adequately address this question.

**Work Group Consensus Statement:** In the absence of reliable evidence supporting an absolute indication for advanced imaging based upon history and physical examination in the specifically-defined patient population, it is the work group's opinion that, in patients with severe and intractable pain syndromes who have failed medical/interventional treatment, advanced imaging may be indicated. Subgroups of patients have been shown to have a higher or lower incidence of radiographic abnormalities based upon acuity of low back pain, tenderness to palpation, and provocation maneuvers; however, the utility of these findings in guiding treatment is not clear. [34-38]

# **Imaging**

Imaging Question 1: What is the association between low back pain and spondylosis on routine radiography?

There is insufficient evidence to make a recommendation for or against an association between low back pain and spondylosis using routine radiography. [39,40]

# Grade of Recommendation: I

Imaging Question 2: Is there evidence to support the use of computed tomography (CT) or magnetic resonance imaging (MRI) for the evaluation of low back pain in the absence of x-ray/radiographic abnormality?

A systematic review of the literature yielded no studies to adequately address this question.

Imaging Question 3: In patients with low back pain, does duration of symptoms correlate with abnormal findings on imaging?

A systematic review of the literature yielded no studies to adequately address this question.

Imaging Question 4: What is the optimal imaging protocol that should be used in the setting of low back pain? 4a. Are unique MRI sequences considered preferential or optimal?

There is insufficient evidence that unique magnetic resonance imaging (MRI) sequences can be considered preferential or optimal. [41]

Grade of Recommendation: I

4b. What is the history and clinical presentation that suggests the use of contrast enhanced imaging in patients with low back pain?

A systematic review of the literature yielded no studies to adequately address this question.

4c. Is there evidence to support imaging the lumbar spine in an oblique plane?

A systematic review of the literature yielded no studies to adequately address this question.

4d. What is the value of flexion/extension films in evaluating lower back pain?

A systematic review of the literature yielded no studies to adequately address this question.

Imaging Question 5: In the absence of red flags, what are the imaging (x-ray, CT or MRI) recommendations for patients with acute or chronic low back pain?

There is insufficient evidence to make a recommendation for or against obtaining

imaging in the absence of red flags. [42]

Grade of Recommendation: I

*Imaging Question 6: Are there imaging findings that correlate with the presence of low back pain?* 

There is insufficient evidence for or against imaging findings correlating with the presence of low back pain. [41, 43-49]

Grade of Recommendation: I

Imaging Question 7: Are there imaging findings that contribute to decision-making by healthcare providers to guide treatment?

There is insufficient evidence to determine whether imaging findings contribute to decision-making by healthcare providers to guide treatment. [50]

Grade of Recommendation: I

Medical and Psychological Treatment (Med/Psych)

*Med/Psych Question 1: Is smoking cessation effective in decreasing the frequency of low back pain episodes?* 

A systematic review of the literature yielded no studies to adequately address this question.

Med/Psych Question 2: In patients with low back pain, is pharmacological treatment effective in

decreasing duration of pain, decreasing intensity of pain, increasing functional outcomes of

treatment and improving the return-to-work rate?

Versus:

- a. No treatment
  - i. Risks
  - ii. Complications
- b. Cognitive behavioral therapy (CBT) and/or psychosocial intervention alone
- c. Patient education alone

There is insufficient evidence to make a recommendation for or against the use of anticonvulsants for the treatment of low back pain. [51]

Grade of Recommendation: I

Antidepressants are not recommended for the treatment of low back pain. [52-55]

Grade of Recommendation: A

There is insufficient evidence to make a recommendation for or against the use of Vitamin D for the treatment of low back pain. [56]

Grade of Recommendation: I

Non-selective NSAIDs are suggested for the treatment of low back pain. [57-59]

Grade of Recommendation: B

There is insufficient evidence to make a recommendation for or against the use of

selective NSAIDs for the treatment of low back pain. [57-59]

Grade of Recommendation: I

It is suggested that the use of oral or IV steroids is not effective for the treatment of low

back pain. [60, 61]

Grade of Recommendation: B

It is suggested that the use of opioid pain medications should be cautiously limited and restricted to short duration for the treatment of low back pain. [62-70]

Grade of Recommendation: B

Med/Psych Question 3: In patients with low back pain, is topical treatment (e.g., cream or gel) effective in decreasing duration of pain, decreasing intensity of pain, increasing functional outcomes of treatment and improving the return-to-work rate?

There is insufficient evidence to make a recommendation for or against the use of lidocaine patch for the treatment of low back pain. [71]

Grade of Recommendation: I

Topical capsicum is recommended as an effective treatment for low back pain on a shortterm basis (3 months or less). [72, 73]

Grade of Recommendation: A

Med/Psych Question 4: Following treatment for low back pain, do patients with healthy sleep habits experience decreased duration of pain, decreased intensity of pain, increased functional outcomes and improved return-to-work rates compared to patients with poor sleeping habits?

A systematic review of the literature yielded no studies to adequately address this question.

*Med/Psych Question 5: In patients with low back pain, is cognitive behavioral therapy (CBT) and/or psychosocial intervention and/or neuroscience education effective in decreasing duration of pain, decreasing intensity of pain, increasing functional outcomes, decreasing anxiety and/or depression and improving return-to-work rate?* [74-84]

Cognitive behavioral therapy is recommended in combination with physical therapy, as compared with physical therapy alone, to improve pain levels in patients with low back pain over 12 months.

Grade of Recommendation: A

Cognitive behavioral therapy in combination with physical therapy, compared to physical therapy alone, is suggested to improve functional outcomes (disability) and return to work in patients with low back pain.

#### Grade of Recommendation: B

There is conflicting evidence to make a recommendation for or against cognitive behavioral therapy for improving depression or anxiety in patients with low back pain. **Grade of Recommendation: I** 

*Med/Psych Question 6: In patients with low back pain, does the timing of cognitive behavioral therapy (CBT) and/ or psychosocial intervention and/or neuroscience education affect duration of pain, intensity of pain, functional outcomes, anxiety, depression and return-to-work status?* 

A systematic review of the literature yielded no studies to adequately address this question.

Med/Psych Question 7: In patients undergoing interventional or surgical treatment for low back pain, does the addition of cognitive behavioral therapy (CBT) and/or psychosocial intervention add incremental benefit?

There is insufficient evidence to make a recommendation for or against the addition of cognitive behavioral therapy or psychosocial intervention for patients undergoing interventional or surgical treatment for low back pain and whether it would provide incremental benefit. [85]

Grade of Recommendation: I

Med/Psych Question 8: Does educating a patient about low back pain improve treatment compliance and outcomes, including duration of pain, intensity of pain, functional outcomes, anxiety, depression and return-to-work status?

There is conflicting evidence to make a recommendation for or against the use of patient education to improve treatment compliance and outcomes, including duration of pain, intensity of pain, functional outcomes, anxiety, depression and return to work status. [86-116]

Grade of Recommendation: I

Med/Psych Question 9: In patients undergoing treatment for low back pain, what is the effectiveness of interventions that address fear-avoidance behaviors?

Treatments targeting fear avoidance combined with physical therapy are recommended
 compared to physical therapy alone to improve low back pain in the first six months.

[117-120]

Grade of Recommendation: A

Med/Psych Question 10: Is active treatment (pharmacological or psychotherapeutic) of anxiety and depression effective in decreasing low back pain?

A systematic review of the literature yielded no studies to adequately address this question.

*Med/Psych Question 11: What are the psychological factors influencing outcomes, including duration of pain, intensity of pain, functional outcomes and return-to-work status, of low back pain treatment?* 

It is suggested that kinesiophobia is a negative prognostic factor for predicting response to low back pain treatment. [121-123]

Grade of Recommendation: B

Med/Psych Question 12: In patients with low back pain, what psychosocial/cognitive/emotional or other assessments should be utilized to establish an accurate diagnosis?

A systematic review of the literature yielded no studies to adequately address this

question.

Med/Psych Question 13: Does nutrition (other than weight reduction) influence the frequency of low back pain episodes?

A systematic review of the literature yielded no studies to adequately address this

question.

Physical Medicine and Rehabilitation (PM&R)

*PM&R Question 1: In patients undergoing treatment for low back pain, what is the effectiveness* of the following in decreasing the duration of pain, decreasing intensity of pain, increasing functional outcomes and improving return to work status, as compared with natural history plus or minus medication:

a. Acute vs. subacute vs. chronic

### *i. Patient education and self- directed exercise program*

Back school is recommended to provide improvements in pain and function when compared with general medical care, modality care or a simple handout at 6-12 months' follow-up for chronic low back pain. [101, 114, 124, 125]

Grade of Recommendation: A

There is insufficient evidence that outcomes from a home-based exercise program are

different than no care. [126, 127]

Grade of Recommendation: I

There is insufficient evidence that a *self-directed* McKenzie exercise program for acute low back pain results in different outcomes compared to usual medical care. [128]

# Grade of Recommendation: 1

There is insufficient evidence that a monitored pedometer-based exercise program with web-based feedback provides any improvement over pedometer instruction alone. [129]

Grade of Recommendation: I

ii. Physical agents

a. (e.g., heat, cold)

It is suggested that the use of heat for acute low back pain results in short-term improvements in pain. [130-136] Grade of Recommendation: B b. (e.g., ultrasound)

In patients with chronic low back pain, ultrasound is not recommended to

improve functional outcomes. [137, 138]

Grade of Recommendation: A

There is conflicting evidence that ultrasound provides immediate pain relief in patients with chronic low back pain. [137, 138]

# Grade of Recommendation: I

*c.* (*e.g.*, *TENS*)

There is conflicting evidence that transcutaneous electrical nerve stimulation (TENS) results in improvement in pain or function at short- to medium-term follow-up. [139-145]

Grade of Recommendation: I

d. (e.g., laser- cutaneous stimulation for purpose of pain modulation)
Laser acupuncture provides no short-term or medium-term benefit over sham
treatment for patients with chronic low back pain. [146, 147]

Grade of Recommendation: A

It is suggested that the combination of laser therapy (low-level or high level) with exercise provides better short-term relief of *pain* than either exercise or laser therapy alone. [148, 149]

# Grade of Recommendation: B

There is conflicting evidence that the combination of laser therapy with exercise

provides better short-term improvement in *function* compared to exercise or laser

therapy alone. [148, 149]

# Grade of Recommendation: I

It is suggested that there is no short-term benefit of laser therapy (low-level or high level) when compared with exercise alone. [148, 149] Grade of Recommendation: B

e. (e.g., traction)

In patients with subacute or chronic low back pain, traction is not recommended to provide clinically significant improvements in pain or function. [150-152] Grade of Recommendation: A

f. (e.g., dry needling)

There is insufficient evidence for or against the use of dry needling as a treatment option for patients with chronic low back pain. [153]

# Grade of Recommendation: I

g. (e.g., electrical stimulation)

A systematic review of the literature yielded no studies to adequately address this question.

# iii. Acupuncture

In patients with low back pain, there is conflicting evidence that acupuncture provides improvements in pain and function as compared to sham acupuncture. [150-152]

# Grade of Recommendation: I

In patients with *chronic* low back pain, addition of acupuncture to usual care is recommended for short-term improvement of pain and function compared to usual care alone. [159-162]

Grade of Recommendation: A

There is insufficient evidence to draw conclusions regarding the comparative effectiveness of acupuncture techniques. [162-165]

# Grade of Recommendation: I

iv. Bracing

- -- Lumbosacral brace
- -- Sacroiliac brace

There is conflicting evidence that bracing results in improvements in pain and function in patients with subacute low back pain. [166-168]

### Grade of Recommendation: I

v. SMT [86, 89, 168-182]

For patients with acute or chronic low back pain, spinal manipulative therapy (SMT) is an option to improve pain and function.

Grade of Recommendation: C

For patients with acute low back pain, spinal manipulative therapy (SMT) results in similar outcomes to no treatment, medication or modalities. Periodically, short-term improvement is statistically better, but clinical significance is uncertain. Grade of Recommendation: A

For patients with chronic low back pain, there is conflicting evidence that outcomes for spinal manipulative therapy (SMT) are clinically different than no treatment, medication or modalities.

Grade of Recommendation: I

vi. Exercise/physical therapy versus or plus massage [183-187]

There is insufficient evidence to determine the efficacy of acupressure compared to a standardized multimodal physical therapy.

Grade of Recommendation: I

In the long term, it is suggested that the addition of massage to an exercise program provides no benefit when compared to an exercise program alone.

# Grade of Recommendation: B

There is insufficient evidence that the addition of massage to an exercise program

provides short-term relief of pain.

Grade of Recommendation: I

vii. Active stabilization exercise

There is insufficient evidence to make a recommendation for or against lumbar stabilization in patients with chronic low back pain. [188-190]

Grade of Recommendation: 1

viii. McKenzie exercise [includes directional preference, centralization, and mechanical diagnosis and therapy (MDT)] [191-193]

McKenzie method is an option for the treatment of chronic low back pain.

Grade of Recommendation: C

There is insufficient evidence that McKenzie method results in different outcomes when compared to a dynamic strengthening program for the treatment of chronic low back pain.

Grade of Recommendation: I

There is insufficient evidence that McKenzie method is better or worse than back school for the treatment of chronic low back pain.

# Grade of Recommendation: I

### ix. Yoga

It is suggested that, in patients with mild chronic low back pain, yoga may offer mediumterm improvements in pain and function compared to usual care, although these improvements are not clinically meaningful due to low baseline pain/disability. [194-197] Grade of Recommendation: B

*x. Aerobic exercise* [79-82]

Aerobic exercise is recommended to improve pain, disability and mental health in patients with non-specific low back pain at short-term follow-up. Grade of Recommendation: A

There is insufficient evidence that aerobic exercise improves pain, disability and mental health in patients with non-specific low back pain at long-term follow-up.

# Grade of Recommendation: I

xi. Work hardening or conditioning [202-209]

In patients with low back pain, work hardening may be considered to improve return to work.

# Grade of Recommendation: C

There is insufficient evidence that work hardening is different than an active therapeutic exercise program or guideline-based physical therapy.

Grade of Recommendation: I

PM&R Question 2: In patients undergoing treatment for low back pain, what is the appropriate

timing, frequency, and duration of treatment with:

Acute vs. subacute vs. chronic

i. Patient education and self- directed exercise program

# *ii. Physical agents*

a. (e.g., heat, cold)

A systematic review of the literature yielded no studies to adequately address this question.

b. (e.g., ultrasound)

A systematic review of the literature yielded no studies to adequately address this question.

*c.* (*e.g.*, *TENS*)

A systematic review of the literature yielded no studies to adequately address this question.

*d.* (*e.g.*, laser- cutaneous stimulation for purpose of pain modulation)

A systematic review of the literature yielded no studies to adequately address this question.

e. (e.g., traction)

A systematic review of the literature yielded no studies to adequately address this question.

f. (e.g., dry needling)

A systematic review of the literature yielded no studies to adequately address this question.

g. (e.g., electrical stimulation)

A systematic review of the literature yielded no studies to adequately address this

question.

iii. Acupuncture

A systematic review of the literature yielded no studies to adequately address this

question.

iv. Bracing

-- Lumbosacral brace

-- Sacroiliac brace

A systematic review of the literature yielded no studies to adequately address this

question.

v. SMT

There is insufficient evidence to determine whether 12 to 18 visits of spinal manipulative therapy (SMT) results in better outcomes than 6 visits for the treatment of low back pain.

[170]

### Grade of Recommendation: I

vi. Exercise/Physical Therapy versus or plus massage

A systematic review of the literature yielded no studies to adequately address this question.

vii. Active stabilization exercise

A systematic review of the literature yielded no studies to adequately address this

question.

*viii. McKenzie exercise [includes directional preference, centralization, and mechanical diagnosis and therapy (MDT)]* 

A systematic review of the literature yielded no studies to adequately address this

question.

ix. Yoga

A systematic review of the literature yielded no studies to adequately address this

question.

x. Aerobic exercise

A systematic review of the literature yielded no studies to adequately address this question.

# xi. Work hardening or conditioning

A systematic review of the literature yielded no studies to adequately address this question.

*PM&R Question 3: Are there specific patient or treatment characteristics that predict improved duration of pain, intensity of pain, functional outcomes and return-to-work status with SMT following an episode of low back pain?*
There is conflicting evidence that symptoms above the knee, low fear avoidance questionnaire score, at least one hypo-mobile segment, and greater than 35 degrees of internal rotation of the hip are predictive of responding to spinal manipulative therapy (SMT) for patients with acute low back pain. [210-214]

# Grade of Recommendation: I

There is insufficient evidence that hyper- or hypo-mobility, patient age, strains and sprains, instability, severe affective distress, relationship with healthcare provider, use of thrust vs. non-thrust techniques, pre-treatment psychological or socioeconomic status, or number of visits are predictive factors of response to spinal manipulative therapy (SMT).

[215-223]

Grade of Recommendation: I

*PM&R Question 4: In patients undergoing treatment for low back pain, what are outcomes, including duration of pain, intensity of pain, functional outcomes and return-to-work status, for exercise therapy alone versus exercise with cognitive behavioral therapy (CBT)?* 

There is conflicting evidence that addition of cognitive behavioral therapy (CBT) to an exercise program results in significant improvement in pain and function compared to exercise alone in patients with chronic low back pain. [81, 92, 104, 119, 224-227]

## Grade of Recommendation: I

PM&R Question 5: In patients undergoing treatment for low back pain, what are outcomes,

including duration of pain, intensity of pain, functional outcomes and return to work status, for a

*lumbar stabilization exercise program versus a general fitness program?* 

It is suggested that a specific stabilization exercise program is equivalent to a general

exercise program. [228-232]

Grade of Recommendation: B

*PM&R Question 6: In patients undergoing treatment for low back pain, what are outcomes, including duration of pain, intensity of pain, functional outcomes and return-to-work status, for SMT versus SMT plus active exercise?* 

It is suggested that the addition of exercise to spinal manipulative therapy (SMT) results
 in similar outcomes to SMT alone. [91, 233]
 Grade of Recommendation: B

*PM&R Question 7: In patients undergoing treatment for low back pain, what are the outcomes, including duration of pain, intensity of pain, functional outcomes and return to work status, for bed rest versus active exercise?* [234-237]

It is suggested that, for patients with acute low back pain, those that exercise more at baseline and use exercise to facilitate recovery are predicted to have better functional outcomes over time than patients who do not exercise or use bed rest to help with recovery.

Grade of Recommendation: B

For patients with acute low back pain, it is suggested that advice to remain active within limits of pain compared to short periods of bed rest from 3 to 7 days all result in similar outcomes in pain and function at short- and medium-term follow-up.

Grade of Recommendation: B

**Work Group Consensus Statement:** In the absence of reliable evidence for patients with non-specific back pain, based on abundant data for other spinal disorders that result in back pain, it is the work group's opinion that remaining active is preferred and likely results in better short-term outcomes than does bed rest.

PM&R Question 8: In patients with low back pain, does a regular exercise program (or presurgical intervention with exercise, PT, education) prior to lumbar surgery decrease the duration of pain, decrease the intensity of pain, increase the functional outcomes of treatment and improve the return-to-work rate compared to those who don't exercise?

A systematic review of the literature yielded no studies to adequately address this question.

*PM&R Question* 9: In patients with low back pain, does exercise treatment after epidural steroid injections/spinal interventions decrease the duration of pain, decrease the intensity of pain, increase the functional outcomes of treatment and improve the return-to-work rate compared to injections alone?

PM&R Question 10: Following surgery for low back pain, are outcomes, including duration of pain, intensity of pain, functional outcomes and return-to-work status, improved with a formal exercise/rehabilitation program versus home instruction plus or minus self-directed exercise program alone?

A systematic review of the literature yielded no studies to adequately address this question.

*PM&R Question 11: Can a clinical prediction rule determine appropriate indications and predict outcomes, including duration of pain, intensity of pain, functional outcomes and return-to-work status, for exercise for low back pain?* 

There is insufficient evidence to provide any reliable predictors of outcomes to an exercise program for the treatment of either acute or chronic low back pain. [238, 239] Grade of Recommendation: I

## Interventional Treatment

Interventional Question 1: In patients with low back pain, do fluoroscopically-guided epidural steroid injections decrease the duration of pain, decrease the intensity of pain, increase the functional outcomes of treatment and improve the return-to-work rate?

There is insufficient evidence to make a recommendation for or against the use of caudal epidural steroid injections in patients with low back pain. [240]

## Grade of Recommendation: I

There is insufficient evidence to make a recommendation for or against the use of interlaminar epidural steroid injections in patients with low back pain. [241]

#### Grade of Recommendation: I

Interventional Question 2: When evaluating fluoroscopically-guided intra-articular lumbar facet joint injections in patients with acute or chronic low back pain:

a. What is the diagnostic utility of this procedure?

b. From a therapeutic standpoint, does this procedure decrease the duration of pain, decrease the intensity of pain, increase the functional outcomes of treatment and improve the return-to-

work rate?

There is insufficient evidence to make a recommendation for or against the use of patientreported reproduction of pain during a zygapophyseal joint injection as a predictor of response to dual diagnostic blocks. [242]

Grade of Recommendation: I

In patients selected for facet joint procedures using diagnostic criteria of physical exam and a response to a single diagnostic intra-articular injection with 50% relief, it is suggested that intra-articular injection of steroids provides no clinically meaningful improvement at 6 months. [243, 244]

Grade of Recommendation: B

In patients selected for facet joint procedures using diagnostic criteria of physical exam and a response to a single diagnostic intra-articular injection with 50% relief, there is

insufficient evidence to make a recommendation for or against the use of radiofrequency neurotomy or periarticular phenol injections. [244, 245]

## Grade of Recommendation: I

There is insufficient evidence to make a recommendation for or against the use of steroid injections into the zygapophyseal joint in patients with chronic back pain and a physical exam suggestive of facet-mediated pain. [246]

Grade of Recommendation: I

# Interventional Question 3: In patients with low back pain, do medial branch blocks have a role in defining treatment for low back pain?

a. Does duration of pain, intensity of pain, functional outcomes and return-to-work status vary when candidates for neurotomy are determined by single vs. comparative medial branch blocks?
b. Is there a threshold for the magnitude of relief from diagnostic facet nerve blocks that predict outcomes to neurotomy?

c. Does duration of pain, intensity of pain, functional outcomes and return-to-work status vary when candidates for neurotomy are determined by diagnostic facet nerve blocks vs. intraarticular facet joint injections?

d. Is there a therapeutic utility of medial branch blocks?

e. Does technical accuracy of medial branch blocks (e.g., contrast use) affects its validity and effectiveness of subsequent neurotomy?

There is insufficient evidence to make a recommendation for or against the use of SPECT imaging in the diagnosis of zygapophyseal joint pain. [247]

## Grade of Recommendation: I

There is insufficient evidence to make a recommendation for or against the use of uncontrolled medial branch blocks vs. pericapsular blocks for the diagnosis of zygapophyseal joint pain based on the outcomes of medial branch nerves cryoablation. [248]

## Grade of Recommendation: I

There is insufficient evidence to make a recommendation for or against the use of cryodenervation for the treatment of zygapophyseal joint pain. [248]

#### Grade of Recommendation: I

There is insufficient evidence to make a recommendation for or against the use of a 50% reduction in pain following medial branch blockade for the diagnosis of zygapophyseal joint pain. [249, 250]

# Grade of Recommendation: I

Thermal radiofrequency ablation is suggested as a treatment for patients with low back pain from the zygapophyseal joints. The outcomes of this procedure become more reliable when more stringent diagnostic criteria are used. The relief from these injections is durable for at least six months following the procedure. [244, 249, 251-257] Grade of Recommendation: B

Interventional Question 4: In patients with low back pain due to lumbar facet joint arthropathy, does fluoroscopically-guided neurotomy decrease the duration of pain, decrease the intensity of pain, increase the functional outcomes of treatment and improve the return-to-work rate?

Thermal radiofrequency ablation is suggested as a treatment for patients with low back pain from the zygapophyseal joints. The outcomes of this procedure become more reliable when more stringent diagnostic criteria are used. The relief from these injections is durable for at least six months following the procedure. [244, 251-257] Grade of Recommendation: B

Interventional Question 5: In patients with low back pain, do fluoroscopically-guided sacroiliac joint injections (SIJI) decrease the duration of pain, decrease the intensity of pain, increase the functional outcomes of treatment and improve the return-to-work rate? a. Does duration of pain, intensity of pain, functional outcomes and return-to-work status vary when candidates for neurotomy are determined by single vs. comparative SIJI? b. Is there a benefit to performing lateral branch blocks as compared with interarticular diagnostic injections as a predictor to response to lateral branch neurotomy? c. Is there a threshold for the magnitude of relief from diagnostic SIJI that predict improvement in duration of pain, intensity of pain, functional outcomes and return-to-work status from SIJ neurotomy?

Intra-articular steroid joint injections may be considered in patients with suspected SI joint pain [258-260]

Grade of Recommendation: C

Interventional Question 6: In patients with pelvic posterior girdle pain relieved temporarily by image guided SIJ injections or lateral branch blocks, does lateral branch neurotomy decrease the duration of pain, decrease the intensity of pain, increase the functional outcomes of treatment and improve the return-to-work rate?

Cooled radiofrequency ablation of the sacral lateral branch nerves and dorsal ramus of L5 may be considered in patients with sacroiliac joint pain diagnosed with dual diagnostic blocks. [261, 262]

Grade of Recommendation: C

Interventional Question 7: In patients with low back pain, does spinal cord stimulation decrease the duration of pain, decrease the intensity of pain, increase the functional outcomes of treatment and improve the return-to-work rate?

There is insufficient evidence to make a recommendation for or against the use of spinal cord stimulation as a treatment for low back pain. [263]

Grade of Recommendation: I

Interventional Question 8: In patients with low back pain, does continuous delivery of intrathecal opioids decrease the duration of pain, decrease the intensity of pain, increase the functional outcomes of treatment and improve the return-to-work rate and are there risks associated with its use?

Interventional Question 9: In patients with low back pain, is provocative lumbar discography more accurate than other diagnostic modalities in identifying the disc as a source of pain?

There is high-level evidence that provocative discography without manometric measurements correlates with pain reproduction in the presence of moderate to severe disc degeneration on MRI/CT discography. [264-268]

Grade of Recommendation: A

There is high-level evidence that provocative discography without manometric pressure measurements correlates with the presence of endplate abnormalities on MRI imaging. [264, 265]

## Grade of Recommendation: A

Bony vibration provocation may be considered to correlate with the presence of pain in patients who have pain on provocation discography without manometric pressure measurements. There is no correlation with the segmental level of pain. [269-271]

## Grade of Recommendation: C

There is insufficient evidence to make a recommendation for or against the use of axial loaded magnetic resonance imaging (MRI) for the diagnosis of low back pain. [272]

#### Grade of Recommendation: I

There is conflicting evidence that pressure controlled provocative discography correlates with nuclear T2 signal intensity on magnetic resonance imaging (MRI) in patients with low back pain. [273, 274]

## Grade of Recommendation: I

There is conflicting evidence that provocative discography without manometric pressure measurements correlates with the presence of a high-intensity zone (HIZ) on MRI

imaging. [264, 265, 275]

## Grade of Recommendation: I

Interventional Question 10: In patients with low back pain, is anesthetic lumbar discography more accurate than other diagnostic modalities in identifying the disc as a source of pain?

There is insufficient evidence to make a recommendation for or against the use of anesthetic discography. [276-279]

Grade of Recommendation: I

Interventional Question 11: In patients with low back pain, does intradiscal injection decrease the duration of pain, decrease the intensity of pain, increase the functional outcomes of treatment and improve the return-to-work rate?

Intradiscal steroids are suggested to provide short-term improvements in pain and function *in patients with Modic changes*. [280, 281]

**Grade of Recommendation: B** 

There is insufficient evidence that intradiscal steroids provide improvements in pain or function *in patients with discogenic low back pain*. [282, 283]

#### Grade of Recommendation: I

There is insufficient evidence to make a recommendation for or against the use of intradiscal bone marrow concentrate in patients with discogenic low back pain. [284]

# Grade of Recommendation: I

There is insufficient evidence to make a recommendation for or against the use of intradiscal platelet rich plasma in patients with discogenic low back pain. [285]

#### Grade of Recommendation: I

There is insufficient evidence to make a recommendation for or against the use of intradiscal Methylene Blue in patients with discogenic low back pain. [286]

## Grade of Recommendation: I

Interventional Question 12: In patients with low back pain, does intradiscal electrothermal therapy or biacuplasty decrease the duration of pain, decrease the intensity of pain, increase the functional outcomes of treatment and improve the return-to-work rate?

Intradiscal electrothermal annuloplasty is suggested to provide improvements in pain and function at up to two years. This treatment is limited in its effectiveness with roughly 40-50% of patients receiving a 50% reduction in pain. [287-289] Grade of Recommendation: B Biacuplasty is an option to produce clinically and statistically significant improvements in pain at 6 months in patients with discogenic low back pain. [290-293]

## Grade of Recommendation: C

There is insufficient evidence to make a recommendation for or against the use of percutaneous intradiscal radiofrequency thermocoagulation. [294]

#### Grade of Recommendation: I

Interventional Question 13: In patients with low back pain, do trigger point injections decrease the duration of pain, decrease the intensity of pain, increase the functional outcomes of treatment and improve the return-to-work rate?

There is insufficient evidence to make a recommendation for or against the use of trigger point injections in the treatment of low back pain. The type of injectate does not influence outcomes. [295, 296]

Grade of Recommendation: I

## Surgical Treatment

Surgical Question 1: In patients with low back pain, does surgical treatment vs. medical/interventional treatment alone decrease the duration of pain, decrease the intensity of pain, increase the functional outcomes of treatment and improve the return-to-work rate?

Surgical Question 2: In patients with low back pain, are there predictive factors which determine the benefit of initial treatment with surgical intervention versus initial medical/interventional treatment?

A systematic review of the literature yielded no studies to adequately address this question.

Surgical Question 3: In patients undergoing fusion surgery for low back pain, which fusion technique results in the best outcomes for the following: decreased duration of pain, decreased intensity of pain, increased functional outcomes of treatment and improved return-to-work rate? a. Posterolateral fusion without internal fixation vs.

- b. Posterolateral transverse fusion with internal fixation vs.
- c. Stand-alone (anterior) interbody fusion vs.
- *d. Transforaminal lumbar interbody fusion (TLIF) or posterior lumbar interbody fusion (PLIF) vs.*
- e. Circumferential fusion (anterior interbody, lateral techniques)

There is insufficient evidence to make a recommendation for or against a particular fusion technique for the treatment of low back pain. [297, 298]

## Grade of Recommendation: I

Surgical Question 4: In patients undergoing fusion surgery for low back pain, are clinical outcomes, including duration of pain, intensity of pain, functional outcomes and return-to-work status, different for multi-level fusions vs. single level fusions?

A systematic review of the literature yielded no studies to adequately address this question.

Surgical Question 5: In patients undergoing fusion surgery for low back pain, does radiographic evidence of fusion correlate with decreased duration of pain, decreased intensity of pain, increased functional outcomes of treatment and improved return-to-work rate?

There is insufficient evidence to make a recommendation regarding whether radiographic evidence of fusion correlates with better clinical outcomes in patients with low back pain. [298]

Grade of Recommendation: I

Surgical Question 6: In patients undergoing fusion surgery for low back pain, does the use of bone growth stimulators (vs. fusion alone) decrease the duration of pain, decrease the intensity of pain, increase the functional outcomes of treatment and improve the return-to-work rate?

A systematic review of the literature yielded no studies to adequately address this question.

Surgical Question 7: In patients undergoing fusion surgery for low back pain, does the use of BMP (vs. fusion alone) decrease the duration of pain, decrease the intensity of pain, increase the functional outcomes of treatment and improve the return-to-work rate?

Surgical Question 8: In patients undergoing fusion surgery for low back pain, does the use of minimally invasive techniques decrease the duration of pain, decrease the intensity of pain, increase the functional outcomes of treatment and improve the return-to-work rate compared to open fusion techniques?

A systematic review of the literature yielded no studies to adequately address this question.

Surgical Question 9: In patients undergoing surgery for low back pain, do motion preserving systems (disc prosthesis and dynamic stabilization systems treatment) decrease the duration of pain, decrease the intensity of pain, increase the functional outcomes of treatment and improve the return-to-work rate compared to fusion surgery?

A systematic review of the literature yielded no studies to adequately address this question.

Surgical Question 10: In patients undergoing surgery for low back pain, do motion preserving systems (disc prosthesis and dynamic stabilization systems) result in lower incidence of symptomatic adjacent segment disease?

A systematic review of the literature yielded no studies to adequately address this question.

Surgical Question 11: In patients with low back pain, does fusion treatment decrease the duration of pain, decrease the intensity of pain, increase the functional outcomes of treatment and improve the return-to-work rate compared to treatment with:

## a. Discectomy

- b. Discectomy plus rhizotomy
- c. Decompression alone

A systematic review of the literature yielded no studies to adequately address this question.

Surgical Question 12: In patients with low back pain due to sacroiliac joint dysfunction, does sacroiliac joint fusion compared with medical/interventional treatment decrease the duration of pain, decrease the intensity of pain, increase the functional outcomes of treatment and improve the return-to-work rate?

A systematic review of the literature yielded no studies to adequately address this question.

## Cost Utility

*Cost Utility Question 1: Who is the most cost-effective spinal care provider for evaluating patients with low back pain:* 

- a. Chiropractor vs.
- b. Physical Therapist vs.
- c. Primary Care Provider (including non-physician providers) vs.
- d. Neurologist vs.
- e. Physiatrist vs.
- f. Spine Surgeon vs.
- g. Anesthesiologists/Pain Medicine Physician vs.

## i. Radiologist

A systematic review of the literature yielded no studies to adequately address this question.

Cost Utility Question 2: What is the cost-utility of diagnostic imaging studies/workup in the evaluation of low back pain (acute, subacute, and chronic), in terms of influencing/altering treatment or in terms of leading to pain reduction and functional improvement?

- a. X-rays (lumbar standing, lumbar flexion-extension, entire spine)
- b. CT scan / CT myelogram
- c. MRI (conventional or dynamic/upright/weight bearing)

There is insufficient evidence to make a recommendation for or against the cost effectiveness of the use of routine ordering of lumbar spine radiographs for low back pain lasting greater than 6 weeks in the absence of red flags. [299, 300]

# Grade of Recommendation: I

Cost Utility Question 3: Does the use of ordering physician-owned diagnostic and treatment facilities affect the cost of low back pain related healthcare services?

A systematic review of the literature yielded no studies to adequately address this question.

Cost Utility Question 4: Are epidural steroid injections (including interlaminar, transforaminal, and caudal injections and selective nerve root blocks) more cost effective in the management of patients with low back pain than other medical/interventional treatments?

A systematic review of the literature yielded no studies to adequately address this question.

Cost Utility Question 5: Is spinal cord stimulation more cost effective in the management of patients with low back pain than other medical/interventional treatments?

A systematic review of the literature yielded no studies to adequately address this question.

Cost Utility Question 6: Is physical therapy in the management of patients with low back pain more cost effective than other medical/interventional treatments?

There is insufficient evidence to make a recommendation for or against the cost utility of physical therapy in the management of low back pain versus other medical/interventional treatments. [301]

Grade of Recommendation: I

Cost Utility Question 7: Is pharmacological management (over-the-counter + prescription medications) for patients with low back pain more or less cost-effective than interventional treatments including physical therapy and injection therapies?

*Cost Utility Question 8: Is spinal manipulative therapy in the management of patients with low back pain more cost effective than other medical/interventional treatments?* 

There is insufficient evidence to make a recommendation for or against the cost utility of spinal manipulative therapy for the treatment of low back pain. [301]

Grade of Recommendation: I

Cost Utility Question 9: Is acupuncture-based therapy in the management of patients with low back pain more cost effective than other medical/interventional treatments? Acupuncture-based therapy in the management of patients with low back pain is suggested to be cost effective when compared with other medical/interventional treatments. [302-304]

Grade of Recommendation: B

Cost Utility Question 10: Are over-the-counter medications only without other medical interventions more cost effective in the management of patients with low back pain than other medical/interventional treatments?

A systematic review of the literature yielded no studies to adequately address this question.

Cost Utility Question 11: Is cognitive or psychological-based therapy in the management of patients with low back pain more cost effective than other medical/interventional treatments?

There is conflicting evidence regarding the cost utility of cognitive or psychological-

based therapy in the management of low back pain. [76, 305-308]

#### Grade of Recommendation: I

Cost Utility Question 12: In patients with low back pain, is a symptom guided treatment approach using directional preference/centralization matched exercise more cost effective than usual care (home care vs. medication vs. non-specific physical therapy exercise vs. non-specific physical therapy modalities) long-term at 12 months, 36 months?

There is insufficient evidence to make a recommendation for or against the cost utility of directional preference based therapy versus alternatives. [309]

## Grade of Recommendation: I

Cost Utility Question 13: Is the surgical management (including fusion and lumbar disc replacement and spinal cord stimulators) of patients with low back pain more cost effective than medical/interventional treatments?

There is insufficient evidence to make a recommendation for or against the cost utility of surgical therapies versus medical/interventional therapies for low back pain. [310, 311]

Grade of Recommendation: I

Cost Utility Question 14: Is cognitive or psychological-based therapy in the management of patients with low back pain more cost effective than surgical therapies?

There is insufficient evidence to make a recommendation for or against the cost utility of cognitive or psychological-based therapies vs. surgical therapies in the treatment of low back pain. [311, 312]

Grade of Recommendation: I

*Cost Utility Question 15: Are minimally invasive surgical procedures more cost effective in the management of patients with low back pain than conventional open surgical procedures?* 

A systematic review of the literature yielded no studies to adequately address this question.

Cost Utility Question 16: Is instrumented lumbar fusion more cost-effective compared to noninstrumented fusion for the treatment of patients with low back pain?

A systematic review of the literature yielded no studies to adequately address this question.

## Discussion

This evidence-based clinical guideline for the diagnosis and treatment of low back pain has several functions. It is an educational tool for both clinicians and patients, and as such this particular guideline is intended to assist practitioners who treat adult patients with nonspecific low back pain. This guideline also serves to focus and rate the scientific data on this topic. An evidence-based guideline such as this allows a clinician access to the best and most current evidence and reduces the burden of "keeping up with the literature" that spans innumerable journals from a broad spectrum of disciplines. In addition, this evidence-based clinical guideline has the potential to improve the appropriateness and effectiveness of patient care by basing decisions on the best evidence available. Finally, this guideline serves to identify knowledge gaps in the clinical literature on nonspecific low back pain in adult patients. High-quality clinical guidelines ideally identify and suggest future research topics to improve guideline development

and thus patient care, as detailed in the current guideline. Recommendations were developed based on a specific definition, inclusion/exclusion criteria, and the resulting literature, which excluded conditions such as presence of a neurological deficit or leg pain experienced below the knee, among others. Given the exclusion criteria, these guideline recommendations address a subset of low back pain care as opposed to low back pain in its entirety. The complete clinical guideline summarized in this article, along with extensive descriptive narratives on each topic outlining the evidence and the work group rationale for the answers to each question, can be found on the NASS website at <u>https://www.spine.org/Research-Clinical-Care/Quality-</u>

Improvement/Clinical-Guidelines. [1]

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**Figure Captions** 



Figure 1. Summary of the North American Spine Society's guideline development process